Military Operations and the Notion of Control Under International Law

Rogier Bartels · Jeroen C. van den Boogaard · Paul A. L. Ducheine · Eric Pouw · Joop Voetelink
Editors

Military Operations and the Notion of Control Under International Law

Liber Amicorum Terry D. Gill





Editors
Rogier Bartels
Chambers (Trial Division)
International Criminal Court
The Hague, The Netherlands

Faculty of Law University of Amsterdam Amsterdam, The Netherlands

Paul A. L. Ducheine Netherlands Defence Academy Breda, The Netherlands

Faculty of Law University of Amsterdam Amsterdam. The Netherlands

Joop Voetelink Netherlands Defence Academy Breda, The Netherlands Jeroen C. van den Boogaard Netherlands Defence Academy Breda, The Netherlands

Faculty of Law University of Amsterdam Amsterdam, The Netherlands

Eric Pouw The Hague, The Netherlands

ISBN 978-94-6265-394-8 ISBN 978-94-6265-395-5 (eBook) https://doi.org/10.1007/978-94-6265-395-5

Published by T.M.C. ASSER PRESS, The Hague, The Netherlands www.asserpress.nl Produced and distributed for T.M.C. ASSER PRESS by Springer-Verlag Berlin Heidelberg

© T.M.C. ASSER PRESS and the authors 2021

No part of this work may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, microfilming, recording or otherwise, without written permission from the Publisher, with the exception of any material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work. The use of general descriptive names, registered names, trademarks, service marks, etc. in this publication does not imply, even in the absence of a specific statement, that such names are exempt from the relevant protective laws and regulations and therefore free for general use.

This T.M.C. ASSER PRESS imprint is published by the registered company Springer-Verlag GmbH, DE part of Springer Nature.

The registered company address is: Heidelberger Platz 3, 14197 Berlin, Germany

Foreword

Professor Gill worked in both institutions we represent, namely the Netherlands Defence Academy (NLDA) and the University of Amsterdam, until his retirement and transition to emeritus professor, respectively. Terry Gill played an important role for our institutions as the dual-hatted professor for Military Law. On 1 September 2001, Terry took up his chair at the University of Amsterdam. As of 2005, he combined this with the newly set-up chair for Military Law at the Netherlands Defence Academy, gradually reducing his tenure at his *alma mater*, Utrecht University, until he divided his time equally between our institutions.

In the meantime, academic teaching and research in the field of military law burgeoned as the world caught fire. The 9/11 terrorist attacks triggered the United States to wage the so-called 'Global War on Terror'. Besides being the first invocation of the collective self-defence mechanism pursuant to Article 5 of the NATO Treaty, Operation Enduring Freedom (the military operation against the Taliban and Al-Qaeda in Afghanistan) initiated academic and political debate about many of the topics within Terry's expertise, such as self-defence, the applicability of the Geneva Convention to (and the treatment of) unprivileged belligerents (in Guantanamo Bay), and the territorial scope of conflict (e.g. Pakistan and Somalia).

The subsequent, highly controversial Operation Iraqi Freedom (the military operation against Iraq), generated both military and legal issues, ranging from *jus ad bellum*, *jus in bello* (e.g. the detainee abuse in Abu Ghraib) and the application of human rights law (e.g. the Al Jedda case before the European Court of Human Rights). As a professor at our institutions, Terry Gill has published important, and often-cited articles on all of the aforementioned issues.

The above developments, also produced new – or a return of old – military strategies, such as counterinsurgency operations, which generate thorny legal questions to be answered by commanding officers supported by legal advisors.

vi Foreword

Terry's research, and the Ph.D. research he supervised, gained much from the combination of the knowledge of military operations in practice and of the realistic legal frameworks applicable to them. The fact that many of his Ph.D. researchers, working in a variety of places, ranging from the military legal services to international criminal tribunals and academia, have now joined the effort to pay tribute to Terry, is just one example of the legal niche he developed: the International Law of Military Operations, or ILMO in short.

During his farewell lecture in Breda in September 2019, Terry confessed that he did not 'by any stretch of the imagination claim to be the inventor' of this niche. Be that as it may, he rightfully recalled that 'my colleagues in the NLDA (and elsewhere) and I can claim some credit for developing the concept of ILMO as a distinct sub-discipline within public international law and disseminating it, both within the armed forces, to policy makers and to a broader public.' The combined effect it produced is to be found in—what may be seen as his lifework—the Handbook on the Law of International Military Operations, edited together with his long-time friend Dr. Dieter Fleck, and produced with the joint effort of his academic and personal friends from academia and armed forces around the world.

Terry brought together his Ph.D. researchers with those who had already successfully defended their research, as well as practitioners and academics from other institutions, in his well-known research group; first called LACPO (Law of Armed Conflict and Peace Operations) when it was operating within the Netherlands, but finally as an international research network spanning more than twenty academic and military institutions around the world, labelled LACMO (Law of Armed Conflict and Military Operations).

Apart from research, a final trait of Terry's legacy deserves to be mentioned: his passionate and original style of teaching. Terry is a truly talented lecturer. After assuming his chair in 2001, he rapidly set off to supplement and complement the work of his predecessor, Professor Guido Coolen. As he took over a chair with a national law focus, Terry, himself a specialist in international law, not only became a specialist in Dutch military law matters, but also added new courses and new lecturers (and *vice versa*) to the chair of Military Law. Together, they set up a specialised program for military law. This program became an integrated part of the unique master in Military Law until 2018 and still serves as the core of the academic and practical training for all new military legal advisors of the Netherlands armed forces.

Foreword

With the privilege of having been on the front row of these achievements, it is with great pride and honour that we open this book that serves to celebrate his career and achievements. We want to thank Terry Gill wholeheartedly for all his contributions, and wish him and his wife Annelies all the best.

April 2020

Brigadier-General Paul A. L. Ducheine Professor at the University of Amsterdam and the Netherlands Defence Academy Breda, The Netherlands

Professor André Nollkaemper
Dean of the Law Faculty
University of Amsterdam
Amsterdam, The Netherlands

Professor Patrick Oonincx Dean of the Faculty of Military Sciences Netherlands Defence Academy Breda, The Netherlands

Contents

| 1 | Notions of Control in Military Operations Under Public International Law | 1 |
|---|---|-----|
| | Rogier Bartels, Jeroen C. van den Boogaard, Paul A. L. Ducheine, Eric Pouw, and Joop Voetelink | |
| 2 | Ensuring Military Legal Expertise Within the Netherlands Armed Forces: A Brief History of the Chair for Military Law Gert Walgemoed | 15 |
| 3 | ILMO: The 'Flux Capacitor' of Contemporary Military | |
| | Operations Terry D. Gill | 35 |
| 4 | Legal Challenges in Extraterritorial Military Operations Dieter Fleck | 47 |
| 5 | Decision-Making and Parliamentary Control for International Military (Cyber) Operations by the Netherlands Armed Forces Paul A. L. Ducheine, Kraesten L. Arnold, and Peter B. M. J. Pijpers | 59 |
| 6 | Control and the Right to Self-Defence Against Non-State | |
| | Actors Kinga Tibori-Szabó | 83 |
| 7 | Relevance of Control in Status of Forces Agreements Joop Voetelink and Bas van Hoek | 107 |
| 8 | Effective Command and Control in United Nations Peace | |
| | Operations Ben Klappe, Jan Peter Spijk, and Alfons Vanheusden | 133 |
| 9 | In Control: Harnessing Aerial Destructive Force | 161 |

x Contents

| 10 | Some Thoughts on the Role of the Notion of 'Control' in 'Choosing' the Paradigm of Hostilities or Law Enforcement as the Governing Framework for the Use of Force in Military Operations: Is There Any? | 195 |
|-----------|---|-----|
| 11 | Controlling Migrants at Sea During Armed Conflict | 219 |
| 12 | The Impact of Control Over Armed Forces on Conflict Classification in War Crimes Cases Rogier Bartels | 235 |
| 13 | The Requirement of Effective Control in the Law of Occupation | 263 |
| 14 | The Shaping of the Notion of 'Control' in the Law on International Responsibility by Certain International and Regional Courts | 281 |
| 15 | Responsibility of Organized Armed Groups Controlling Territory: Attributing Conduct to ISIS | 307 |
| 16 | The Control Requirement of Command Responsibility: New Insights and Lingering Questions Offered by the Bemba Appeals Chamber Case Harmen van der Wilt and Maria Nybondas | 329 |
| 17 | The Importance of Arms Control Law | 349 |
| 18 | Control in Weapons Law | 369 |
| 19 | Control Through ROE in Military Operations: Autonomous Weapons and Cyber Operations as Reasons to Change the Classic ROE Concept? | 393 |
| 20 | 'Autonomous' Weapons and Human Control | 421 |
| 21 | State Control Over the Use of Autonomous Weapon Systems: Risk Management and State Responsibility Robin Geiß | 439 |
| Apı | pendix | 451 |

Editors and Contributors

About the Editors

Rogier Bartels is a Legal Officer in Chambers (Trial Division) at the International Criminal Court, a post-doctoral research fellow at the Federmann Cyber Security Center of the Hebrew University of Jerusalem, and a part-time judge in the criminal law department of the District Court of Amsterdam. Terry Gill is one of the supervisors of his recently submitted Ph.D. thesis on the interplay between international humanitarian law and international criminal law, at the University of Amsterdam.

Dr. Jeroen C. van den Boogaard is an assistant professor in military law at the Netherlands Defence Academy and a lecturer and researcher at the University of Amsterdam. In 2019, he defended his Ph.D. thesis entitled 'Proportionality in International Humanitarian Law - Principle, Rule and Practice', which was supervised by Prof. Terry Gill. Together with Terry Gill, he teaches the course on International Humanitarian Law at the University of Amsterdam.

Brigadier-General Prof. Dr. Paul A. L. Ducheine, M.Sc., LL.M. is a Professor for Cyber Operations and Cyber Security at the Netherlands Defence Academy and a Professor of the Law of Military Cyber Operations at the University of Amsterdam. He obtained his Ph.D., supervised by Prof. Terry Gill, on the legal framework for military counter-terrorism operations in 2008, from the University of Amsterdam.

Lieutenant-Colonel Dr. Eric Pouw, LL.M. is Legal Advisor in the Netherlands Army Legal Service. In 2013, he defended his Ph.D. thesis, supervised by Terry Gill, entitled 'International Human Rights Law and the Law of Armed Conflict in the Context of Counterinsurgency—With a Particular Focus on Targeting and Operational Detention', at the University of Amsterdam.

xii Editors and Contributors

Colonel (Ret.) Dr. Joop Voetelink is Associate Professor of Law at the Netherlands Defence Academy. He defended his Ph.D. thesis, entitled 'Status of Forces: Criminal Jurisdiction over Military Personnel Abroad', supervised by Prof. Terry Gill, at the University of Amsterdam in 2012.

Contributors

Kraesten L. Arnold Netherlands Defence Academy, Breda, The Netherlands

J. F. R. Boddens Hosang Netherlands Ministry of Defence, The Hague, The Netherlands

William Boothby Australian National University, Canberra, Australia

Martin D. Fink University of Amsterdam, Amsterdam, The Netherlands

Dieter Fleck Cologne, Germany

Katharine Fortin Netherlands Institute of Human Rights, Utrecht University, Utrecht, The Netherlands

Robin Geiß University of Glasgow, Glasgow, UK;

Geneva Academy of International Humanitarian Law and Human Rights, Geneva, Switzerland

Terry D. Gill University of Amsterdam, Amsterdam, The Netherlands

Wolff Heintschel von Heinegg Europa-Universität, Viadrina, Frankfurt (Oder), Germany

Ben Klappe Brussels, Belgium

Jann Kleffner Swedish Defence University, Stockholm, Sweden

Eric Myjer University of Utrecht, Utrecht, The Netherlands

Maria Nybondas Eurojust, The Hague, The Netherlands

Frans P. B. Osinga Netherlands Defence Academy, Breda, The Netherlands

Peter B. M. J. Pijpers Netherlands Defence Academy, Breda, The Netherlands

Mark P. Roorda Netherlands Public Prosecution Service, Arnhem, The Netherlands

Jan Peter Spijk Brussels, Belgium

Kinga Tibori-Szabó University of Amsterdam, Amsterdam, The Netherlands

Editors and Contributors xiii

Bas van Hoek Ministry of Defence, The Hague, The Netherlands

Harmen van der Wilt University of Amsterdam, Amsterdam, The Netherlands

Alfons Vanheusden Brussels, Belgium

Gert Walgemoed Zeist, The Netherlands

Marten Zwanenburg Netherlands Defence Academy, Breda, The Netherlands; Ministry of Foreign Affairs, The Hague, The Netherlands

Gentian Zyberi Norwegian Centre for Human Rights, University of Oslo, Oslo, Norway